

FAEGRE & BENSON LLP3200 WELLS FARGO CENTER, 1700 LINCOLN STREET
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MESSAGE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Christopher L. Jones et al. Atty. Docket No.: 59036-249728
Title: Identifying a Recommended Portfolio of Financial Products for an Investor Based Upon
Financial Products That Are Available to the Investor
Serial No.: 09/495,982 Examiner: Thomas A. Dixon
Filing Date: 02/01/2000 Group Art Unit: 3629
Confirmation No.: 5534

CERTIFICATE OF TRANSMISSION UNDER 37 CFR 1.8

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Attached Correspondence: 1) Transmittal Form
2) Amendment and Response to Office Action mailed 12/17/2003

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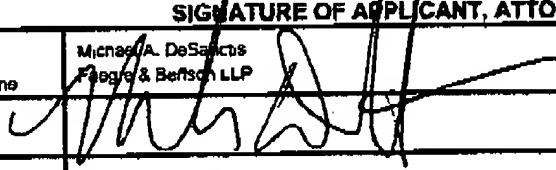
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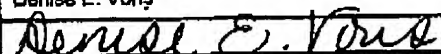
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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/495,982	
	Filing Date	02/01/2000	
	First Named Inventor	Christopher L. Jones	
	Art Unit	3629	
	Examiner Name	DIXON, T	
Total Number of Pages in This Submission	15	Attorney Docket Number	59036-249728

ENCLOSURES (check all that apply)				
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <p style="text-align: center;">Fax transmittal sheet</p>		
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Michael A. DeSantis Faegre & Benson LLP
Signature	
Date	February 12, 2004

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FEB 12 2004

In re Application of:

Christopher L. Jones

Examiner: Dixon, T.

Application No: 09/495,982

Art Unit: 3629

Filed: February 1, 2000

Confirmation No.: 5534

For: IDENTIFYING A RECOMMENDED
PORTFOLIO OF FINANCIAL PRODUCTS
FOR AN INVESTOR BASED UPON
FINANCIAL PRODUCTS THAT ARE
AVAILABLE TO THE INVESTOR
(as amended)

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT AND RESPONSE TO OFFICE ACTION

Sir:

In response to the Office Action mailed December 17, 2003, the Applicant respectfully requests the Examiner to enter the following amendment and to consider the following remark.

CERTIFICATE OF FACSIMILE

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FEBRUARY 12, 2004
Date of Deposit

DENISE E. VORIS
Name of Person Mailing Correspondence

Denise E. Voris
Signature

2/12/2004
Date

Docket No. 59036-249728

AMENDMENT

Amendment to the Title:

Please amend the title as follows:

Identifying a Recommended Portfolio of Financial Products for an Investor Based Upon
Financial Products That are Available to the Investor Financial Advisory System